

FACT SHEET



SECTION ON
PEDIATRICS

AMERICAN PHYSICAL THERAPY ASSOCIATION

Section on Pediatrics, APTA

1111 North Fairfax Street
Alexandria, VA 22314-1488

Phone 800/999-2782, ext 3254
E-mail: peditrics@apta.org

www.pediatricapta.org

 **APTA**
American Physical Therapy Association.

Spinal Muscular Atrophy

What Is Spinal Muscular Atrophy (SMA)?

SMA is a genetic disease that results in degeneration of the anterior horn cells and muscle weakness. SMA is the leading genetic cause of death among infants and toddlers. While some symptomatic treatments are available, there is no specific treatment for the disease itself.

Disease Presentation

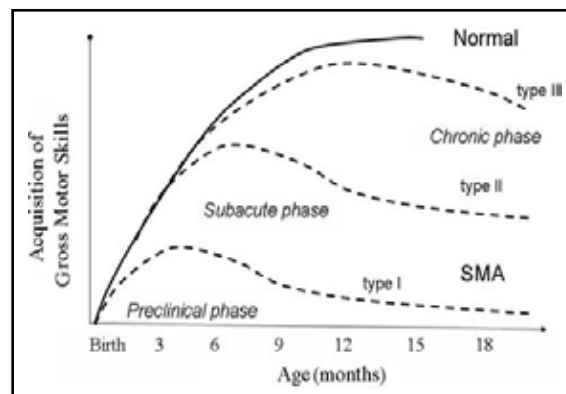
SMA can present at any age with acute onset of motor weakness and loss of function. Proximal limbs, trunk, and intercostal muscles are most affected, with the diaphragm being relatively spared. The initial period of acute weakness is followed by a prolonged plateau during which muscle strength and function may be stable. Tremor and fasciculations are often seen in the fingers and tongue. Cognitive function of children (or individuals) with SMA is typically normal. Some individuals with Type I SMA may have cognitive issues secondary to hypoxic injury. There is often a striking discrepancy between alertness and the ability to move in the more severe forms of SMA.¹⁻³

Disease Classification

There are 3 types of childhood onset SMA, which are classified by the maximum motor skill attained:

- **SMA Type I (Werdnig Hoffman Syndrome, acute SMA, infantile-onset SMA):** Most severe type; children do not attain the ability to sit without assistance. It is also the most common type of SMA; occurring in up to 60% of SMA births (ICD-9 code: 335.0)
- **SMA Type II (intermediate SMA, juvenile SMA, chronic SMA):** Intermediate form; children achieve the ability to sit and may stand with support at an early age, but they do not walk without braces or assistance (ICD-9 code: 335.10)
- **SMA Type III (Kugelberg-Welander Syndrome):** Mildest form; children achieve the ability to walk without bracing or assistance at some point; however, loss of motor function (including ambulation) can occur (ICD-9 code: 335.11)

Figure 1. Acquisition of Gross Motor Milestone in Controls Versus Infants With Spinal Muscular Atrophy¹



Reprinted by permission of SAGE Publications.

Characteristics

Motor (Figure 1):

Progressive weakness,²⁻⁸ leading to:

- A paucity of overall movement in those with Type I SMA
- Decreased active movement, with limited ability for antigavity movement in those with Type II SMA
- Decreased mobility

- Motor delay
- Early hypermobility with contracture development later in disease
- Poor head control common in those with Type I and some with Type II SMA
- Muscle fatigue⁹
- Areflexia or hyporeflexia
- Axillary slippage
- Fasciculation of muscles, most common in tongue (in most cases noted visually as “polyminimyoclonus” in the tongue; may need ultrasound to visualize) and fingers

Postural Compensations:

- Common resting posture: Excessive lower-extremity abduction and external rotation with hip and knee flexion; upper-extremity pronation with ulnar drift
- Kyphotic sitting posture in those that are able to sit
- Gower’s maneuver in children with Type III SMA who are able to transition from floor to standing

Cardiorespiratory:

- Restrictive lung disease and respiratory insufficiency that presents initially as nocturnal hypoventilation¹⁰
- At risk for cardiac involvement^{3,8,10,12}

Cognitive and Sensory:

- Cognitive and Sensory systems are intact¹³

At Risk For:

- Osteopenia,¹⁴ scoliosis,¹⁵⁻¹⁸ hip dislocation,¹⁹ falls and fractures³
- Issues with nutrition and weight management^{8,20,21}

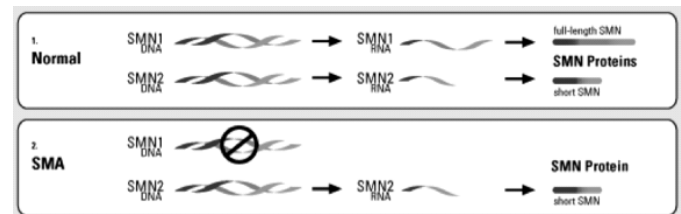
Quick Facts

- SMA is the second most common neuromuscular disease in childhood
- Recessive inheritance; parents are typically carriers and typical recurrence risk is 1 in 4
- Incidence is between 1 in 6,000 to 10,000; carrier frequency 1 in 57
- Age of onset is related to severity of phenotype^{3,22}
- Type I typically presents in infancy (0-3 months)
- Type II after the onset of sitting (6-18 months)
- Type III (after 18 months) after the onset of walking

Survival

- SMA is a result of homozygous deletion of what is called the Survival of Motor Neuron (SMN) 1 protein (Figure 2)

Figure 2. Model of Normal and SMA Survival of Motor Neuron (SMN)1 Protein



FSMA© 2004.

- In the typically developing child, both SMN1 and SMN2 genes are present on chromosome 5q13 and produce SMN protein.
- SMN protein is ubiquitously expressed and considered essential to life. It has been identified as a critical component of the RNA spliceosome and may have other cellular functions.
- In the child with SMA, the SMN1 gene is mutated and does not produce functional SMN protein.

- The SMN2 gene is a modulator of disease severity in SMA, and the child with SMA relies on the SMN2 gene to produce SMN protein. While additional copies of SMN2 may allow for greater ability to compensate for the absence of SMN1, the relationship does not fully predict prognosis or outcome.
- The biological reason for motor neuron sensitivity to SMN protein depletion is still unknown.

Survival Is Dependent on Severity and Age of Presentation as Well as Treatment Choices³:

SMA Type I:

- Survival is typically limited to 18 months with rare exceptions
- With mechanical ventilation (BiPAP, tracheostomy) and gastrostomy feeding, life expectancy can be extended²³

SMA Type II:

- Variable survival that is dependent on respiratory compromise and support provided

SMA Type III:

- Normal life expectancy

SMA Is Not to Be Confused With:

- Muscular dystrophies and myopathies
- Congenital hypotonia
- Other diseases of the peripheral nerves

Diagnostic Criteria

- **Genetic testing (blood test):** Homozygous deletion of exon 7 of the SMN1 gene³
- **EMG:** Diminished compound motor action potential (CMAP), normal nerve conduction velocity (NCV)³
- **Muscle biopsy:** Grouped atrophy (not required for diagnosis)²

Tests and Measures^{3,8}

Tests of Body Functions and Structure:

- Manual Muscle Test²⁴
- Myometry^{25,26}

- Goniometry²⁷
- Pulmonary function tests¹⁰

Tests of Activity and Participation:

- Hammersmith Functional Motor Scale (HFMS)^{28,29}
- Modified Hammersmith Functional Motor Scale-Extend (MHFMS-Extend)³⁰⁻³³
- Expanded Hammersmith Functional Motor Scale^{33,34}
- The Test of Infant Motor Performance Screening Items (TIMPSI)³⁵
- The CHOP Infant Test of Neuromuscular Disorders (CHOP Intend)³⁵⁻³⁶
- Gross Motor Function Measure (GMFM)^{38,39}
- Timed tests of function (time to walk/run 30 feet or 10 meters; time to rise to standing from the floor; time to climb steps)^{39,40}
- North Star Ambulatory Assessment for SMA (NSAA-SMA)⁴²
- Motor-Function Measure (MFM)^{43,44}
- EK Scale⁴⁵
- 6-Minute-Walk Test^{46,47}
- Activlim^{48,49}
- Pediatric Inventory of Disability Evaluation (PEDI)⁵⁰
- Children's Assessment of Participation and Preferences for Activities (CAPE-PAC)⁵¹
- PedsQL^{©52-55}
- PedsQL Neuromuscular Module^{©56}

Intervention

Why Is It Important for Children Diagnosed With SMA to Receive Intervention?^{3,8}

To foster and maintain movement and mobility to allow for independence and environmental exploration at younger ages, as well as to foster overall health and wellness and participation in activity, adapted sports, and recreation at all ages.

Intervention Strategies

- Gait training for ambulatory patients to minimize safety risks and optimize energy expenditure⁵⁷
- An assistive technology evaluation to maximize activity and participation. This may include:
 - Manual or power wheelchairs or scooters as early as 18 months to 2 years⁵⁸
 - Stenders or long leg braces to initiate standing in the second year for patients with SMA Type II⁵⁹
 - Adapted computer access or switch toys as appropriate
 - Equipment for ADLs, including environmental adaptations, bath equipment, and mechanical lifts
- Patient/caregiver education regarding handling, positioning, optimizing potential and safety, and preparing for changes with aging.⁶⁰

- The maintenance of strength and the conservation of energy through exercise and activity (this includes play, aquatic therapy,⁶¹ hippotherapy, and developmental exercises).
- The maintenance of flexibility through range of motion/stretching, positioning, bracing, splinting, standing programs, and serial casting.
- The facilitation of good posture, with appropriate seating to optimize alignment.
- The promotion of weight-bearing exercises to optimize bone health.

Helpful Websites

- **Families of SMA (FSMA):** www.fsma.org
- **SMA Foundation:** www.smafoundation.org
- **Muscular Dystrophy Association (MDA):** www.mdausa.org
- **SMA outcomes:** www.smaoutcomes.org
- **TREAT NMD Registry of Outcome Measures (ROM):** www.researchrom.com/masterlist

References

1. Swoboda KJ, Kisel JT, Crawford TO, et al. Perspectives on clinical trials in spinal muscular atrophy. *J Child Neurol.* 2007;22(3):957-966.
2. Crawford TO, Pardo CA. The neurobiology of childhood spinal muscular atrophy. *Neurobiol Dis.* 1996; 3(2):97-110.
3. Wang CH, Finkel RS, Bertini ES, et al. Consensus statement for standard of care in spinal muscular atrophy. *J Child Neurol.* 2007;22(8):1027-1049.
4. Swoboda KJ, Kisel JT, Crawford TO, et al. Perspectives on clinical trials in spinal muscular atrophy. *J Child Neurol.* 2007;22(8):957-966.
5. Zerres K, Rudnik-Schoneborn S, Forrest E, Lusakowska A, Borkowska J, Hausmanowa-Petrusewicz I. A collaborative study on the natural history of childhood and juvenile onset proximal spinal muscular atrophy (type II and III SMA): 569 patients. *J Neurol Sci.* 1997;146(1):67-72.
6. Russman BS, Buncher CR, White M, Samaha FJ, Iannaccone ST. Function changes in spinal muscular atrophy II and III. The DCN/SMA Group. *Neurol.* 1996;47(4):973-976.
7. Han JJ, McDonald CM. Diagnosis and clinical management of spinal muscular atrophy. *Phys Med Rehabil Clin North Am.* 2008;19(3):661-80, xii.
8. Mercuri E, Bertini E, Iannaccone ST. Childhood spinal muscular atrophy: controversies and challenges. *Lancet Neurol.* 2012;11(5):443-52.
9. Iannaccone ST, White M, Browne R, Russman B, Buncher R, Samaha FJ. Muscle fatigue in spinal muscular atrophy. *J Child Neurol.* 1997;12(5):321-326.
10. Schroth MK. Special considerations in the respiratory management of spinal muscular atrophy. *Pediatr.* 2009;123 Suppl 4:S245-249.
11. D'Amico A, Mercuri E, Tiziano FD, Bertini E. Spinal muscular atrophy. *Orphanet J Rare Dis.* 2011;6:71.
12. Iannaccone ST. Modern management of spinal muscular atrophy. *J Child Neurol.* 2007;22(8):974-978.
13. von Gontard A, Zerres K, Backes M, et al. Intelligence and cognitive function in children and adolescents with spinal muscular atrophy. *Neuromuscul Disord.* 2002;12(2):130-136.
14. Khatri IA, Chaudhry US, Seikaly MG, Browne RH, Iannaccone ST. Low bone mineral density in spinal muscular atrophy. *J Clin Neuromuscul Dis.* 2008;10(1):11-17.
15. Sucato DJ. Spine deformity in spinal muscular atrophy. *J Bone Joint Surg Am.* 2007;89 Suppl 1:148-154.
16. Granata C, Cervellati S, Ballestrazzi A, Corbascio M, Merlini L. Spine surgery in spinal muscular atrophy: long-term results. *Neuromuscul Disord.* 1993;3(3):207-215.
17. Granata C, Merlini L, Magni E, Marini ML, Stagni SB. Spinal muscular atrophy: natural history and orthopaedic treatment of scoliosis. *Spine.* 1989;14(7):760-762.
18. Merlini L, Granata C, Bonfiglioli S, Marini ML, Cervellati S, Savini R. Scoliosis in spinal muscular atrophy: natural history and management. *Dev Med Child Neurol.* 1989;31(4):501-508.
19. Granata C, Magni E, Merlini L, Cervellati S. Hip dislocation in spinal muscular atrophy. *Chir Organi Mov.* 1990;75(2):177-184.
20. Messina S, Pane M, De Rose P, et al. Feeding problems and malnutrition in spinal muscular atrophy type II. *Neuromuscul Disord.* 2008;18(5):389-393.
21. Sproule DM, Montes J, Dunaway S, et al. Adiposity is increased among high-functioning, non-ambulatory patients with spinal muscular atrophy. *Neuromuscul Disord.* 2010;20(7):448-452.
22. Lefebvre S, Burglen L, Reboullet S, et al. Identification and characterization of a spinal muscular atrophy-determining gene. *Cell.* 1995;80(1):155-165.
23. Oskoui M, Levy G, Garland CJ, et al. The changing natural history of spinal muscular atrophy type 1. *Neurol.* 2007;69(20):1931-1936.

24. Wang HY, Yang YH, Jong YJ. Evaluation of muscle strength in patients with spinal muscular atrophy. *Kaohsiung J Med Sci*. 2002;18(5):241-247.
25. Merlini L, Mazzone ES, Solari A, Morandi L. Reliability of hand-held dynamometry in spinal muscular atrophy. *Muscle Nerve*. 2002;26(1):64-70.
26. Febrer A, Rodriguez N, Alias L, Tizzano E. Measurement of muscle strength with a handheld dynamometer in patients with chronic spinal muscular atrophy. *J Rehabil Med*. 2010;42(3):228-231.
27. Wang HY, Ju YH, Chen SM, Lo SK, Jong YJ. Joint range of motion limitations in children and young adults with spinal muscular atrophy. *Arch Phys Med Rehabil*. 2004;85(10):1689-1693.
28. Main M, Kairon H, Mercuri E, Muntoni F. The Hammersmith functional motor scale for children with spinal muscular atrophy: a scale to test ability and monitor progress in children with limited ambulation. *Eur J Paediatr Neurol*. 2003;7(4):155-159.
29. Mercuri E, Messina S, Battini R, et al. Reliability of the Hammersmith functional motor scale for spinal muscular atrophy in a multicentric study. *Neuromuscul Disord*. 2006;16(2):93-98.
30. Krossschell KJ, Maczulski JA, Crawford TO, Scott C, Swoboda KJ. A modified Hammersmith functional motor scale for use in multicenter research on spinal muscular atrophy. *Neuromuscul Disord*. 2006;16(7):417-426.
31. Krossschell K, Scott C, Maczulski J, et al. Reliability of the Modified Hammersmith Functional Motor Scale in young children with spinal muscular atrophy. *Muscle Nerve*. 2011;44(2):246-251.
32. Lewelt A, Krossschell KJ, Scott C, et al. Compound muscle action potential and motor function in children with spinal muscular atrophy. *Muscle Nerve*. 2010;42(5):703-708.
33. O'Hagen JM, Glanzman AM, McDermott MP, et al. An expanded version of the Hammersmith Functional Motor Scale for SMA II and III patients. *Neuromuscul Disord*. 2007;17(9-10):693-697.
34. Glanzman AM, O'Hagen JM, McDermott MP, et al. Validation of the Expanded Hammersmith Functional Motor Scale in spinal muscular atrophy type II and III. *J Child Neurol*. 2011;26(12):1499-1507.
35. Finkel RS, Hyman LS, Glanzman AM, et al. The test of infant motor performance: reliability in spinal muscular atrophy type I. *Pediatr Phys Ther*. 2008;20(3):242-246.
36. Glanzman AM, Mazzone E, Main M, et al. The Children's Hospital of Philadelphia Infant Test of Neuromuscular Disorders (CHOP INTEND): test development and reliability. *Neuromuscul Disord*. 2010;20(3):155-161.
37. Glanzman AM, McDermott MP, Montes J, et al. Validation of the Children's Hospital of Philadelphia Infant Test of Neuromuscular Disorders (CHOP INTEND). *Pediatr Phys Ther*. 2011;23(4):322-326.
38. Nelson L, Owens H, Hyman LS, Iannaccone ST. The gross motor function measure is a valid and sensitive outcome measure for spinal muscular atrophy. *Neuromuscul Disord*. 2006;16(6):374-380.
39. Iannaccone ST. Outcome measures for pediatric spinal muscular atrophy. *Arch Neurol*. 2002;59(9):1445-1450.
40. Merlini L, Bertini E, Minetti C, et al. Motor function-muscle strength relationship in spinal muscular atrophy. *Muscle Nerve*. 2004;29(4):548-552.
41. Kissel JT, Scott CB, Reyna SP, et al. SMA CARNIVAL TRIAL PART II: a prospective, single-armed trial of L-carnitine and valproic acid in ambulatory children with spinal muscular atrophy. *PLoS ONE*. 2011;6(7):e21296.
42. Scott E, Mayhew A. Northstar Ambulatory Assessment for SMA (NSAA for SMA). <http://www.researchchrom.com/masterlist/view/31>. Accessed July 3, 2012.
43. Berard C, Payan C, Hodgkinson I, Fermanian J. A motor function measure for neuromuscular diseases. Construction and validation study. *Neuromuscul Disord*. 2005;15(7):463-70.
44. Berard C, Payan C, Fermanian J, MFM Collaborative Study Group. A motor function measure scale for neuromuscular diseases validation study. *Neuromuscul Disord*. 2006;16:S191-S191.
45. Steffensen B, Hyde S, Lyager S, Mattsson E. Validity of the EK scale: a functional assessment of non-ambulatory individuals with Duchenne muscular dystrophy or spinal muscular atrophy. *Physiother Res Int*. 2001;6(3):119-134.
46. Montes J, McDermott MP, Martens WB, et al. Six-Minute Walk Test demonstrates motor fatigue in spinal muscular atrophy. *Neurol*. 2010;74(10):833-838.
47. Montes J, Dunaway S, Montgomery MJ, et al. Fatigue leads to gait changes in spinal muscular atrophy. *Muscle Nerve*. 2011;43(4):485-488.
48. Vandervelde L, Van den Bergh PY, Goemans N, Thonnard JL. ACTIVLIM: a Rasch-built measure of activity limitations in children and adults with neuromuscular disorders. *Neuromuscul Disord*. 2007;17(6):459-469.
49. Vandervelde L, Van den Bergh PY, Goemans N, Thonnard JL. Activity limitations in patients with neuromuscular disorders: a responsiveness study of the ACTIVLIM questionnaire. *Neuromuscul Disord*. 2009;19(2):99-103.
50. Feldman AB, Haley SM, Coryell J. Concurrent and construct validity of the Pediatric Evaluation of Disability Inventory. *Phys Ther*. 1990;70(10):602-610.
51. King G, Law M, King S, et al. *Children's Assessment of Participation and Enjoyment (CAPE) and Preferences for Activities of Children (PAC)*. San Antonio, TX: Harcourt Assessment Inc; 2004.
52. Iannaccone ST, Hyman LS. Reliability of 4 outcome measures in pediatric spinal muscular atrophy. *Arch Neurol*. 2003;60(8):1130-1136.
53. Varni JW, Seid M, Rode CA. The PedsQL™: Measurement Model for the Pediatric Quality of Life Inventory. *Med Care*. 1999;37(2):126-139.
54. Dunaway S, Montes J, Montgomery M, et al. Reliability of telephone administration of the PedsQL Generic Quality of Life Inventory and Neuromuscular Module in spinal muscular atrophy (SMA). *Neuromuscul Disord*. 2010;20(3):162-165.
55. Scott C, KJ S, Kissel JT, et al. Child Versus Parent-Proxy: analysis of two methods to assess quality of life in children with Spinal Muscular Atrophy: report from SMA CARNIVAL clinical trial. Paper presented at: 13th Annual International Spinal Muscular Atrophy Meeting; June 2009; Cincinnati, OH.
56. Iannaccone ST, Hyman LS, Morton A, Buchanan R, Limbers CA, Varni JW. The PedsQL in pediatric patients with Spinal Muscular Atrophy: feasibility, reliability, and validity of the Pediatric Quality of Life Inventory Generic Core Scales and Neuromuscular Module. *Neuromuscul Disord*. 2009;19(12):805-812.
57. Matjacic Z, Olensek A, Krajnik J, Eymard B, Zupan A, Praznikar A. Compensatory mechanisms during walking in response to muscle weakness in spinal muscular atrophy, type III. *Gait Posture*. 2008;27(4):661-668.
58. Jones MA, McEwen IR, Hansen L. Use of power mobility for a young child with spinal muscular atrophy. *Phys Ther*. 2003;83(3):253-262.
59. Granata C, Cornelio F, Bonfiglioli S, Mattutini P, Merlini L. Promotion of ambulation of patients with spinal muscular atrophy by early fitting of knee-ankle-foot orthoses. *Dev Med Child Neurol*. 1987;29(2):221-224.
60. de Groot IJ, de Witte LP. Physical complaints in ageing persons with spinal muscular atrophy. *J Rehabil Med*. 2005;37(4):258-262.
61. Cunha MC, Oliveira AS, Labronici RH, Gabbai AA. Spinal muscular atrophy type II (intermediary) and III (Kugelberg-Welander): evolution of 50 patients with physiotherapy and hydrotherapy in a swimming pool. *Arq Neuropsiquiatr*. 1996;54(3):402-406.

FOR MORE INFORMATION:

If you have additional questions, would like to order additional copies of this fact sheet, or would like to join the Section on Pediatrics, please contact the Executive Office of the Section on Pediatrics of the American Physical Therapy Association at: APTA Section on Pediatrics, 1111 North Fairfax Street, Alexandria, VA 22314, 800/999-2782, ext 3254, Fax: 703/706-8575. Or visit the Section's Web site at www.pediatricapta.org.